



# MINISAT

## SAT ALGORITHMS AND APPLICATIONS

Niklas Sörensson

`nik@cs.chalmers.se`

Chalmers University of Technology and Göteborg University

Empirically Successful Classical Automated Reasoning  
a CADE-20 Workshop  
22nd - 23th July, 2005



# MINISAT

MINISAT is a SAT solver with the following features:

- Simple, well documented implementation suitable for educational purposes
- Incremental SAT-solving
- Well-defined interface for general boolean constraints

# Empirically Successful

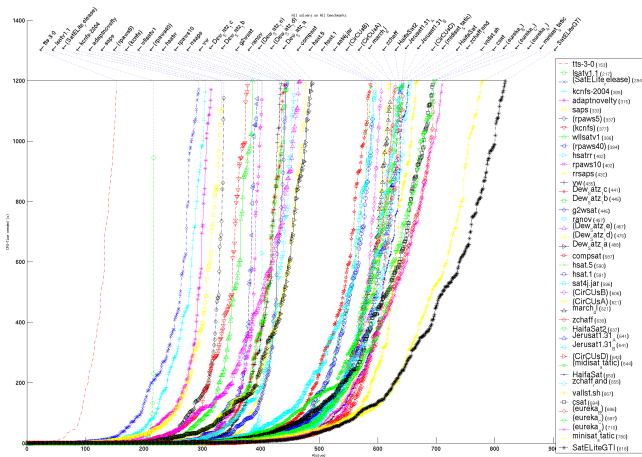
SAT competition 2005 results:

- MINISAT won all industrial categories
- MINISAT also performed best overall





# Empirically Successful

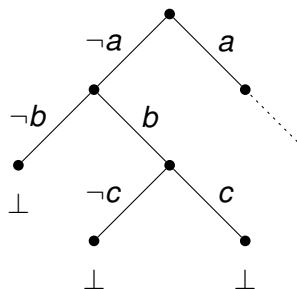




# Overview

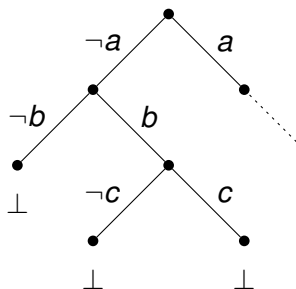
- Algorithms for SAT
- Applications

# DPLL SAT solving



- Branching
- Unit propagation
- Backtracking

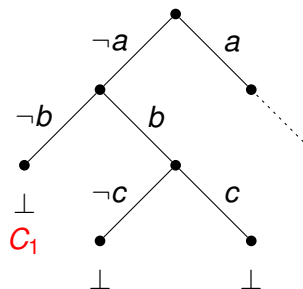
# DPLL SAT solving



- Branching
- Unit propagation
- Backtracking
- Learning



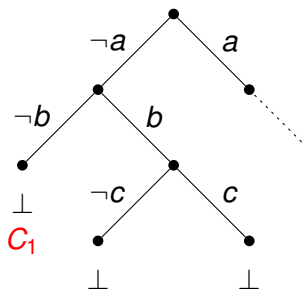
# DPLL SAT solving



- Branching
- Unit propagation
- Backtracking
- Learning



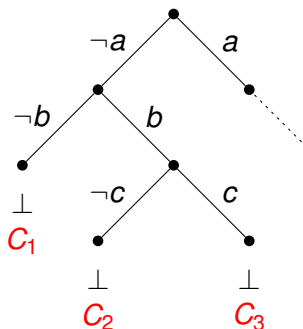
# DPLL SAT solving



- Branching
- Unit propagation
- Backtracking
- Learning

Analyze the conflict to infer a clause  $C_1$  that is a logical consequence of the problem

# DPLL SAT solving



- Branching
- Unit propagation
- Backtracking
- Learning

Analyze the conflict to infer a clause  $C_1$  that is a logical consequence of the problem



# Propagation & Conflicts

## Unit propagation

Is realized by particular datastructures rather than explicit inferences. No clauses are changed.

# Propagation & Conflicts

## Unit propagation

Is realized by particular datastructures rather than explicit inferences. No clauses are changed.

## Conflict

A conflict is a situation where at least one **conflicting clause** is falsified by unit propagation



# Conflict Clause

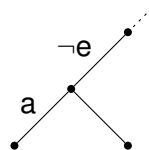
- Inferred by **conflict analysis**
- Helps prune future parts of the search space
- Actually **drives** backtracking

## Requirements

- Consequence of the clause set
- Falsified by current assignment
- Contains exactly one literal implied by last assumption

# Conflict Analysis Example

$\vdots$	$\vdots$
$e=F$	<i>assumption</i>
$f=F$	$\neg f \vee e$
$g=F$	$\neg g \vee f$
$h=F$	$\neg h \vee g$
$a=T$	<i>assumption</i>
$b=T$	$b \vee \neg a \vee e$
$c=T$	$c \vee e \vee f$
$d=T$	$d \vee \neg b \vee h$

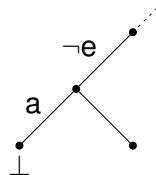




# Conflict Analysis Example

$\vdots$	$\vdots$
$e=F$	<i>assumption</i>
$f=F$	$\neg f \vee e$
$g=F$	$\neg g \vee f$
$h=F$	$\neg h \vee g$
$a=T$	<i>assumption</i>
$b=T$	$b \vee \neg a \vee e$
$c=T$	$c \vee e \vee f$
$d=T$	$d \vee \neg b \vee h$

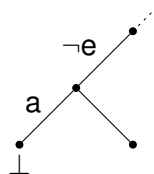
$$\neg b \vee \neg c \vee \neg d$$



# Conflict Analysis Example

$\vdots$	$\vdots$
$e=F$	<i>assumption</i>
$f=F$	$\neg f \vee e$
$g=F$	$\neg g \vee f$
$h=F$	$\neg h \vee g$
$a=T$	<i>assumption</i>
$b=T$	$b \vee \neg a \vee e$
$c=T$	$c \vee e \vee f$
$d=T$	$d \vee \neg b \vee h$

$$\neg b \vee \neg c \vee \neg d$$



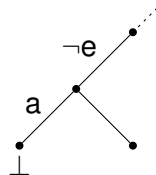


# Conflict Analysis Example

$\vdots$	$\vdots$
$e=F$	<i>assumption</i>
$f=F$	$\neg f \vee e$
$g=F$	$\neg g \vee f$
$h=F$	$\neg h \vee g$
$a=T$	<i>assumption</i>
$b=T$	$b \vee \neg a \vee e$
$c=T$	$c \vee e \vee f$
$d=T$	$d \vee \neg b \vee h$

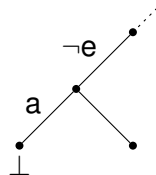
$$\neg b \vee \neg c \vee \neg d$$

$$\neg b \vee \neg c \vee h$$



# Conflict Analysis Example

$\vdots$	$\vdots$
$e=F$	<i>assumption</i>
$f=F$	$\neg f \vee e$
$g=F$	$\neg g \vee f$
$h=F$	$\neg h \vee g$
$a=T$	<i>assumption</i>
$b=T$	$b \vee \neg a \vee e$
$c=T$	$c \vee e \vee f$
$d=T$	$d \vee \neg b \vee h$

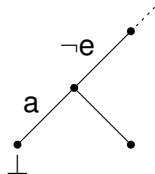


$$\neg b \vee \neg c \vee \neg d$$

$$\neg b \vee \neg c \vee h$$

# Conflict Analysis Example

$\vdots$	$\vdots$
$e=F$	<i>assumption</i>
$f=F$	$\neg f \vee e$
$g=F$	$\neg g \vee f$
$h=F$	$\neg h \vee g$
$a=T$	<i>assumption</i>
$b=T$	$b \vee \neg a \vee e$
$c=T$	$c \vee e \vee f$
$d=T$	$d \vee \neg b \vee h$



$$\neg b \vee \neg c \vee \neg d$$

$$\neg b \vee \neg c \vee h$$

$$\neg b \vee h \vee e \vee f$$

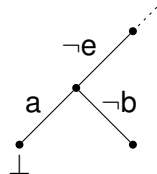
# Conflict Analysis Example

⋮	⋮
$e=F$	<i>assumption</i>
$f=F$	$\neg f \vee e$
$g=F$	$\neg g \vee f$
$h=F$	$\neg h \vee g$
$a=T$	<i>assumption</i>
$b=T$	$b \vee \neg a \vee e$
$c=T$	$c \vee e \vee f$
$d=T$	$d \vee \neg b \vee h$

$$\neg b \vee \neg c \vee \neg d$$

$$\neg b \vee \neg c \vee h$$

$$\neg b \vee h \vee e \vee f$$





# Conflict Analysis Algorithm

- 1 Begin with conflicting clause
- 2 Resolve on the most recently propagated literal, using the clause that caused the propagation as side clause
- 3 Repeat until the candidate clause contains exactly one literal implied by the last assumption



# Alternative Conflict Analyses

- Traditional Conflict Analysis is minimal in the number of derivations
- Balance between time spent and usefulness of the conflict clause
- Is a shorter clause always better?

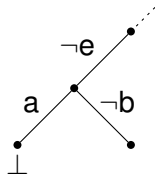
# Subsumption Resolution

$$\frac{a \vee C \quad \neg a \vee D}{D} \quad C \subseteq D$$

Conclusion clause subsumes one of the antecedents

# Basic Conflict Minimizing Example

⋮	⋮
$e=F$	<i>assumption</i>
$f=F$	$\neg f \vee e$
$g=F$	$\neg g \vee f$
$h=F$	$\neg h \vee g$
$a=T$	<i>assumption</i>
$b=T$	$b \vee \neg a \vee e$
$c=T$	$c \vee e \vee f$
$d=T$	$d \vee \neg b \vee h$

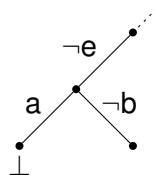


$\neg b \vee \neg c \vee \neg d$   
 $\neg b \vee \neg c \vee h$   
 $\neg b \vee h \vee e \vee f$



# Basic Conflict Minimizing Example

⋮	⋮
$e=F$	<i>assumption</i>
$f=F$	$\neg f \vee e$
$g=F$	$\neg g \vee f$
$h=F$	$\neg h \vee g$
$a=T$	<i>assumption</i>
$b=T$	$b \vee \neg a \vee e$
$c=T$	$c \vee e \vee f$
$d=T$	$d \vee \neg b \vee h$



$\neg b \vee \neg c \vee \neg d$   
 $\neg b \vee \neg c \vee h$   
 $\neg b \vee h \vee e \vee f$

# Basic Conflict Minimizing Example

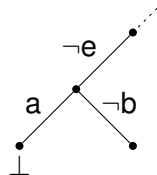
⋮	⋮
$e=F$	<i>assumption</i>
$f=F$	$\neg f \vee e$
$g=F$	$\neg g \vee f$
$h=F$	$\neg h \vee g$
$a=T$	<i>assumption</i>
$b=T$	$b \vee \neg a \vee e$
$c=T$	$c \vee e \vee f$
$d=T$	$d \vee \neg b \vee h$

$$\neg b \vee \neg c \vee \neg d$$

$$\neg b \vee \neg c \vee h$$

$$\neg b \vee h \vee e \vee f$$

$$\neg b \vee h \vee e$$





# Basic Conflict Minimizing

- Start from ordinary conflict clause
- Apply subsumption resolution greedily
- Works because there are no cyclic dependencies
- Also uses reason clauses from other levels

Very cheap, almost for free.



# Generalized Subsumption Resolution

$$\frac{C_1 \quad D_1}{C_2}, \quad \frac{C_2 \quad D_2}{C_3}, \quad \dots, \quad \frac{C_{n-1} \quad D_{n-1}}{C_n}$$

where  $C_n$  subsumes  $C_1$

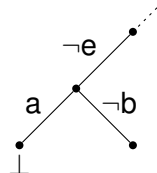
# Full Conflict Minimizing Example

⋮	⋮
$e=F$	<i>assumption</i>
$f=F$	$\neg f \vee e$
$g=F$	$\neg g \vee f$
$h=F$	$\neg h \vee g$
$a=T$	<i>assumption</i>
$b=T$	$b \vee \neg a \vee e$
$c=T$	$c \vee e \vee f$
$d=T$	$d \vee \neg b \vee h$

$$\neg b \vee \neg c \vee \neg d$$

$$\neg b \vee \neg c \vee h$$

$$\neg b \vee h \vee e \vee f$$



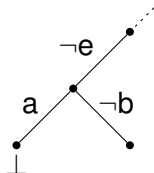
# Full Conflict Minimizing Example

⋮	⋮
$e=F$	<i>assumption</i>
$f=F$	$\neg f \vee e$
$g=F$	$\neg g \vee f$
$h=F$	$\neg h \vee g$
$a=T$	<i>assumption</i>
$b=T$	$b \vee \neg a \vee e$
$c=T$	$c \vee e \vee f$
$d=T$	$d \vee \neg b \vee h$

$$\neg b \vee \neg c \vee \neg d$$

$$\neg b \vee \neg c \vee h$$

$$\neg b \vee h \vee e \vee f$$



# Full Conflict Minimizing Example

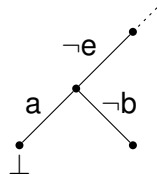
⋮	⋮
$e=F$	<i>assumption</i>
$f=F$	$\neg f \vee e$
$g=F$	$\neg g \vee f$
$h=F$	$\neg h \vee g$
$a=T$	<i>assumption</i>
$b=T$	$b \vee \neg a \vee e$
$c=T$	$c \vee e \vee f$
$d=T$	$d \vee \neg b \vee h$

$$\neg b \vee \neg c \vee \neg d$$

$$\neg b \vee \neg c \vee h$$

$$\neg b \vee h \vee e \vee f$$

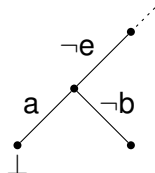
$$\neg b \vee e \vee f \vee g$$



# Full Conflict Minimizing Example

⋮	⋮
$e=F$	<i>assumption</i>
$f=F$	$\neg f \vee e$
$g=F$	$\neg g \vee f$
$h=F$	$\neg h \vee g$
$a=T$	<i>assumption</i>
$b=T$	$b \vee \neg a \vee e$
$c=T$	$c \vee e \vee f$
$d=T$	$d \vee \neg b \vee h$

$\neg b \vee \neg c \vee \neg d$   
 $\neg b \vee \neg c \vee h$   
 $\neg b \vee h \vee e \vee f$   
 $\neg b \vee e \vee f \vee g$

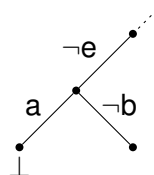




# Full Conflict Minimizing Example

$\vdots$	$\vdots$
$e=F$	<i>assumption</i>
$f=F$	$\neg f \vee e$
$g=F$	$\neg g \vee f$
$h=F$	$\neg h \vee g$
$a=T$	<i>assumption</i>
$b=T$	$b \vee \neg a \vee e$
$c=T$	$c \vee e \vee f$
$d=T$	$d \vee \neg b \vee h$

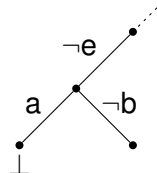
$\neg b \vee \neg c \vee \neg d$   
 $\neg b \vee \neg c \vee h$   
 $\neg b \vee h \vee e \vee f$   
 $\neg b \vee e \vee f \vee g$   
 $\neg b \vee e \vee f$



# Full Conflict Minimizing Example

⋮	⋮
$e=F$	<i>assumption</i>
$f=F$	$\neg f \vee e$
$g=F$	$\neg g \vee f$
$h=F$	$\neg h \vee g$
$a=T$	<i>assumption</i>
$b=T$	$b \vee \neg a \vee e$
$c=T$	$c \vee e \vee f$
$d=T$	$d \vee \neg b \vee h$

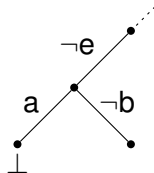
$\neg b \vee \neg c \vee \neg d$   
 $\neg b \vee \neg c \vee h$   
 $\neg b \vee h \vee e \vee f$   
 $\neg b \vee e \vee f \vee g$   
 $\neg b \vee e \vee f$



# Full Conflict Minimizing Example

⋮	⋮
e=F	<i>assumption</i>
f=F	$\neg f \vee e$
g=F	$\neg g \vee f$
h=F	$\neg h \vee g$
a=T	<i>assumption</i>
b=T	$b \vee \neg a \vee e$
c=T	$c \vee e \vee f$
d=T	$d \vee \neg b \vee h$

$\neg b \vee \neg c \vee \neg d$   
 $\neg b \vee \neg c \vee h$   
 $\neg b \vee h \vee e \vee f$   
 $\neg b \vee e \vee f \vee g$   
 $\neg b \vee e \vee f$   
 $\neg b \vee e$





# Full Conflict Minimizing

- Search for applications of generalized subsumption
- Caching of subresults is necessary to make it efficient

Is relatively expensive, but simplifies a lot



# Effect of Minimization

	w10_45.cnf		fifo8_200.cnf		f2clk_30.cnf	
	LPC	Time	LPC	Time	LPC	Time
Orig	47.0	4.90	37.9	182.0	174.1	33.273
Basic	31.3 (7.8%)	4.28	36.2 (11.5%)	194.9	92.1 (12.8%)	29.482
Full	13.2 (4.6%)	3.84	16.5 (29.6%)	158.1	39.0 (42.1%)	31.628

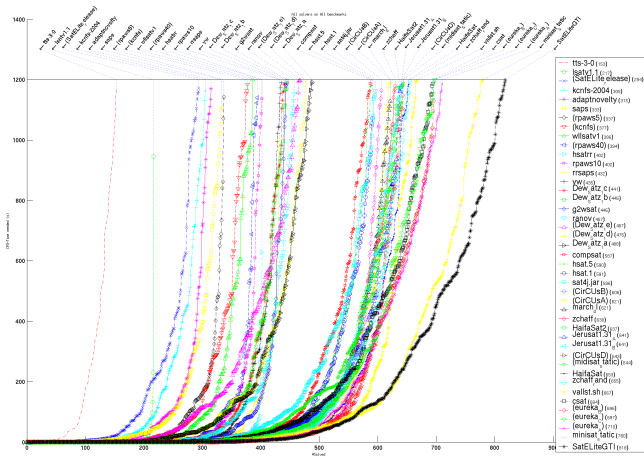


# SATELITE

- A pre-processing tool developed by Niklas Een
- Uses simplification techniques
  - Variable elimination
  - Subsumption
  - Subsumption resolution
- Works well for simplifying formulas translated from circuits



# Effects of using SatElite





# Incremental SAT

- Allows to solve a sequence of related problems
- Between runs clauses can be added or unit clauses can be retracted
- Learned clauses are valid in later runs





# Safety Property Verification

## Symbolic Finite State Machine

- $T(s, s')$  *(transition relation)*
- $I(s)$  *(initial states)*

Can a state satisfying a property  $\neg P(s)$  be reached?



# Temporal Induction



# Temporal Induction

$$I(s_0) \wedge \neg P(s_0) \quad (\textit{base 1})$$



# Temporal Induction

$$I(s_0) \wedge \neg P(s_0) \quad (\textit{base 1})$$

$$P(s_1) \wedge T(s_1, s_0) \wedge \neg P(s_0) \quad (\textit{ind. 1})$$



# Temporal Induction

$$I(s_0) \wedge \neg P(s_0) \quad (\textit{base 1})$$

$$P(s_1) \wedge T(s_1, s_0) \wedge \neg P(s_0) \quad (\textit{ind. 1})$$

$$I(s_1) \wedge P(s_1) \wedge T(s_1, s_0) \wedge \neg P(s_0) \quad (\textit{base 2})$$



# Temporal Induction

$$I(s_0) \wedge \neg P(s_0) \quad (\textit{base 1})$$

$$P(s_1) \wedge T(s_1, s_0) \wedge \neg P(s_0) \quad (\textit{ind. 1})$$

$$I(s_1) \wedge P(s_1) \wedge T(s_1, s_0) \wedge \neg P(s_0) \quad (\textit{base 2})$$

$$P(s_2) \wedge T(s_2, s_1) \wedge P(s_1) \wedge T(s_1, s_0) \wedge \neg P(s_0) \quad (\textit{ind. 2})$$



# Temporal Induction

$$I(s_0) \wedge \neg P(s_0) \quad (\textit{base 1})$$

$$P(s_1) \wedge T(s_1, s_0) \wedge \neg P(s_0) \quad (\textit{ind. 1})$$

$$I(s_1) \wedge P(s_1) \wedge T(s_1, s_0) \wedge \neg P(s_0) \quad (\textit{base 2})$$

$$P(s_2) \wedge T(s_2, s_1) \wedge P(s_1) \wedge T(s_1, s_0) \wedge \neg P(s_0) \quad (\textit{ind. 2})$$

$$I(s_2) \wedge P(s_2) \wedge T(s_2, s_1) \wedge P(s_1) \wedge T(s_1, s_0) \wedge \neg P(s_0) \quad (\textit{base 3})$$

# Temporal Induction

$$I(s_0) \wedge \neg P(s_0) \quad (\textit{base 1})$$

$$P(s_1) \wedge T(s_1, s_0) \wedge \neg P(s_0) \quad (\textit{ind. 1})$$

$$I(s_1) \wedge P(s_1) \wedge T(s_1, s_0) \wedge \neg P(s_0) \quad (\textit{base 2})$$

$$P(s_2) \wedge T(s_2, s_1) \wedge P(s_1) \wedge T(s_1, s_0) \wedge \neg P(s_0) \quad (\textit{ind. 2})$$

$$I(s_2) \wedge P(s_2) \wedge T(s_2, s_1) \wedge P(s_1) \wedge T(s_1, s_0) \wedge \neg P(s_0) \quad (\textit{base 3})$$

$$\vdots$$





# Coding Unique States

For completeness all states in a path is required to be different



# Coding Unique States

For completeness all states in a path is required to be different

## Problem

Encoding the unique states requirement gives a formula quadratic in number of states



# Coding Unique States

For completeness all states in a path is required to be different

## Problem

Encoding the unique states requirement gives a formula quadratic in number of states

## Solution

Overhead avoided by **lazy** addition of uniqueness constraints

# Paradox - a First Order Model Finder

- Searches for models with a **finite domain**.
- Uses MINISAT in an incremental way
- Won the SAT\* category of CASC 2003 and 2004

# First Order Model Finding Using SAT

- When searching for models of size  $k$  it is enough to choose the first  $k$  natural numbers as the domain.

# First Order Model Finding Using SAT

- When searching for models of size  $k$  it is enough to choose the first  $k$  natural numbers as the domain.
- In an interpretation each ground atom is identified with a propositional variable. Example:

$P(0)$ ,  $P(1)$ ,  $f(0) = 0$ ,  $f(0) = 1$ ,  $f(1) = 0$ ,  $f(1) = 1$



# First Order Model Finding Using SAT

- When searching for models of size  $k$  it is enough to choose the first  $k$  natural numbers as the domain.
- In an interpretation each ground atom is identified with a propositional variable. Example:

$P(0)$ ,  $P(1)$ ,  $f(0) = 0$ ,  $f(0) = 1$ ,  $f(1) = 0$ ,  $f(1) = 1$

- To transform a first order problem to SAT we need to get rid of complex terms, and variables. This is done by **function flattening**, and **ground instantiation**.

# Function flattening

**Shallow Literals** are of one of the following forms:

- 1  $P(x_1, \dots, x_m)$ , or  $\neg P(x_1, \dots, x_m)$ ,
- 2  $f(x_1, \dots, x_n) = y$ , or  $f(x_1, \dots, x_n) \neq y$ ,
- 3  $x = y$ .

Rewrite rules

1.  $C[t] \longrightarrow t \neq x \vee C[x]$
2.  $x \neq y \vee C[x, y] \longrightarrow C[x, x]$

Terminates with a **shallow** clause set, if applied exhaustively





# Ground Instantiation

Ground instantiation of a clause set  $F$  is done for the particular domain  $D = \{1, \dots, k\}$

- 1 Instances  $F_\sigma$  with respect to  $D$



# Ground Instantiation

Ground instantiation of a clause set  $F$  is done for the particular domain  $D = \{1, \dots, k\}$

- 1 Instances  $F_\sigma$  with respect to  $D$
- 2 Functionality constraints: each function has **at most** one value in  $D$  for each input

Example:  $f(1) \neq 1 \vee f(1) \neq 2$



# Ground Instantiation

Ground instantiation of a clause set  $F$  is done for the particular domain  $D = \{1, \dots, k\}$

- 1 Instances  $F_\sigma$  with respect to  $D$
- 2 Functionality constraints: each function has **at most** one value in  $D$  for each input

Example:  $f(1) \neq 1 \vee f(1) \neq 2$

- 3 Totality constraints: each function has **at least** one value in  $D$  for each input

Example:  $f(1) = 1 \vee f(1) = 2 \vee f(1) = 3$ , for  $D = \{1, 2, 3\}$

# Non Ground Splitting

Grounding is exponential in the number of variables in a clause. Number of variables can be reduced by splitting.



# Non Ground Splitting

Grounding is exponential in the number of variables in a clause. Number of variables can be reduced by splitting.

Example:  $P(x, y) \vee Q(y, z) \longrightarrow$

1.  $P(x, y) \vee S(y)$
2.  $Q(y, z) \vee \neg S(y)$



# Non Ground Splitting

Grounding is exponential in the number of variables in a clause. Number of variables can be reduced by splitting.

Example:  $P(x, y) \vee Q(y, z) \longrightarrow$

1.  $P(x, y) \vee S(y)$
2.  $Q(y, z) \vee \neg S(y)$

- Optimally splitting a clause is NP hard
- We use a simple greedy heuristic that works well



# Summary

# Summary

- Relatively expensive techniques pay off in computing conflict clauses





# Summary

- Relatively expensive techniques pay off in computing conflict clauses
- Variable elimination based preprocessing helps with industrial problems



# Summary

- Relatively expensive techniques pay off in computing conflict clauses
- Variable elimination based preprocessing helps with industrial problems
- Incremental SAT allows to solve a sequence of related problems more efficiently

# Summary

- Relatively expensive techniques pay off in computing conflict clauses
- Variable elimination based preprocessing helps with industrial problems
- Incremental SAT allows to solve a sequence of related problems more efficiently
- Use incremental architecture for lazy encoding of otherwise infeasible (or expensive) constraints